Regioselective Photocyclization of (*E*)-2-(2,3,6-Trichlorostyryl)benzothiazole and Synthesis of 3,4-Dichloro- and 4-Chlorobenzothiazolo[3,2-*a*]quinolinium Chlorides: A Synthetic and Theoretical Study

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A high degree of regioselectivity is observed in the photochemically induced cyclization of (E)-2-(2,3,6)-trichlorostyryl)benzothiazole (**1a**). According to the proposed mechanism, this compound was expected to afford two products, 3,4-dichloro- and 1,4-dichlorobenzothiazolo[3,2-a]quinolinium chlorides (**4a** and **4a'**, respectively). However, this reaction produced 3,4-dichlorobenzazolo[3,2-a]quinolinium chloride (**4a**) as the sole product. On the other hand, irradiation of (E)-2-(2,3,5-trichlorostyryl)benzothiazole (**1b**) failed to produce the expected 1,3-dichlorobenzothiazolo[3,2-a]quinolinium chloride (**4b**). Furthermore, (E)-2,3-difluorostrylbenzothiazole (**1c**) also failed to give the corresponding 1-fluorobenzothiazolo[3,2-a]quinolinium fluoride (**4c**). Interestingly, the irradiation of 2,6-dichlorostyrylbenzothialole (**1d**) produced 4-chlorobenzothiazolo[3,2-a]quinolinium chloride (**4d**) in excellent yield. This paper presents the results of these investigations and a mechanistic rationale for the outcome of this reaction based on steric arguments and theoretical studies using a combination of molecular mechanics (MM+) and semiempirical quantum mechanical calculations (PM3/RHF/CI). Two-dimensional high field nmr methods were employed to make complete assignments of the proton and carbon spectra of all new compounds.

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INTRODUCTION

During the past few years, work in our laboratory has been focused in the design and synthesis of benzazolo-[3,2-a]quinolinium salts, a new family of unnatural alkaloids that have been shown to be potent antitumor agents [1-12]. Recent work has shown that these salts exert their mechanism of action by inhibition of topoisomerase II activity [10,12]. The synthesis of these compounds is achieved *via* the photochemically induced cyclization of 2-(2-halostyryl)benzazoles [1]. The reaction is envisaged to occur in three steps, namely: (1) a *trans* to *cis* isomerization of the styryl derivative **1**, (2) a photochemically induced electrocyclic π_a^{6} -process to give **3**, and finally (3) ionization of the intermediate (**3**), with the loss of a chloride ion, to yield the corresponding benzazolo[3,2-*a*]quinolinium salt (**4**) derivative as final product (see Scheme 1). In an effort to assess the mechanistic details for the formation of the intermediate **3** in this sequence of reactions, we synthesized and subjected to 350 nm - irradiation the compounds (*E*)-2-(2,3,6-trichlorostyryl)-, (*E*)-2-(2,3,5trichlorostyryl)-, (*E*)-2,3-(difluorostyryl)- and (*E*)-2,6-(dichlorostyryl)benzothiazole (**1a**, **1b**, **1c** and **1d**, respectively, see Scheme 1). This paper describes the results of these investigations.

Scheme 1

Proposed mechanism for the formation of the benzazolo[3,2-a]quinolinium salts via the photocyclization of 1 derivatives.



RESULTS AND DISCUSSION

The irradiation of 1a (R₁ = 3,6-triCl) produced the quinolinium salt **4a** ($R_1 = 3,4$ -diCl) in 45 % yield as a sole product. On the other hand, the irradiation of 1b failed to give the expected quinolinium salt 5 ($R_1 = 1,4$ -diCl). Likewise, irradiation of 1c ($R_1 = 2,3$ -diF) also failed to produce the expected benzazolo[3,2-a]quinolinium salt (4c). On the other hand, photolysis of 1d produced 4chlorbenzothiazolo[3,2-a]quinolinium chloride (4d) in good yield. These results are attributed to the difference in stability of the proposed intermediate 3 (X = H vs X = Cl)or F). To corroborate this hypothesis, a mechanistic study for the outcome of the photocyclization of 1a and 1b was performed using a combination of molecular mechanics (MM+), semiempirical quantum mechanical calculations (PM3/RHF/CI), and *ab initio* DFT with B3LYP/6-31G(d). The results of the theoretical calculations are summarized in Figures 1 and 2. The trans to cis isomerization is not the limiting factor for the photoreaction of 1a, since it requires an average net energy of only 3.1 kcal/mol for 2a and 2a', but 4.1 kcal/mol for 2b (data not shown). As a matter of fact, the activation energy for this isomerization in the ground state is 22.5 kcal/mol, but in the first excited state this is an exothermic process. The $S0 \rightarrow S1$ energy for trans 2a is about 94.4 kcal/mol, and that for $S1 \rightarrow cis$ 2a is -91.3 kcal/mol. Therefore, after providing the required excitation energy by irradiation of the sample, the 2a-S1 state decays to the 2a'-S0 state without any further energy requirement. Moreover, the predicted "transition state" between these two systems has lower energy (121.9 kcal/mol) than the 2a-S1 (173.0 kcal/mol). The activation energy required for the interconversion of the rotamers 2a and 2a' is predicted to be 10.1 kcal/mol

(Fig.1). Moreover, the *ab initio* calculations predict a shorter N---Cl distance for 2a (3.5 Å), than for 2a' (4.6 Å) This fact gives a steric advantage to product 4a. In the case where X = Cl, the calculated energy for the formation of the intermediates 3a' and 3b from the corresponding *cis*-styryl **2a'** and **2b**, was 4.5 and 5.5 kcal/mol higher than for the formation of the intermediate **3a**, respectively. In addition, both intermediates would produce the corresponding less thermodynamically stable products 4a' (R = 1,4-diCl; $\Delta H_f = 95.5$ kcal/mol) and 4b (R = 1,3-diCl; ΔH_f = 94.9 kcal/mol) (see Figure 2). Furthermore, the enthalpy of the reaction (ΔH_{rex}) , taken as the difference of the enthalpy of formation between the intermediate and the final product, shows that in the case where X = H, the formation of product 4a ($\Delta H_{rex} = -0.3$ kcal/mol) is energetically favored with respect to compounds 4a' ($\Delta H_{rex} = 8.9$ kcal/mol) and 4b ($\Delta H_{rex} = 8.8$ kcal/mol). These results indicate that the photocyclization of the (E)-styrylbenzothiazoles is also thermodynamically controlled by the formation of the intermediate 3 and subsequent reorganization to yield the corresponding benzazolo[3,2-a]quinolinium salt. The thermodynamic instability of these intermediates and their end products is mainly introduced by the C1-substituent, which leads to severe steric and electronic interactions between the two chlorine atoms at position C-12a, and X-1 (X = H vs Cl, see Scheme 1 and Table 1). This is shown in Table 1 in terms of the phenyl-phenyl torsional angle and the distance between the BQ nitrogen and the chlorine ion. These values show that the stability of 4a is a result of the flattened conformation of the molecule, which forces the chlorine atom to reside near the hydrogens at a distance of 4.4 Å of the nitrogen. The next stable conformation found for this molecule has a N⁺---Cl⁻ distance of only 2.7

Product	Structure	ΔH _f (kcal/mol)		Torsional angle	N ⁺ Cl ⁻
		3 ^{a)}	BQS ^b	(degrees) ^{c)}	(A) ^{d}
4 a		82.1	81.8	0.1	4.4
4a'		86.6	95.5	26.9	3.8
4b		86.1	94.9	26.6	3.8

Table 1.

PM3/RHF/CI theoretical parameters and most stable structures of the products and their intermediates for the reactions shown in Figures 1 and 2.

[a] formation enthalpy of the corresponding intermediate **3**. [b] BQS = benzazolo[3,2-*a*]quinolinium chloride. [c] torsional angle measured between the phenyl-phenyl planes. [d] distance between the nitrogen at BQ⁺ and the Cl⁻.

Å, but the torsional angle increases to 9.8 degrees and accordingly, the formation enthalpy increases to 94.7 kcal/mol. This increase in energy is attributed to the repulsion between the chloride ion and the huge electron density of the phenyl-phenyl system. The same behavior is observed for **4a'** and **4b**. Their most stable conformation given in Table 1 has a smaller N⁺---Cl⁻ distance (3.8 Å), larger torsional angles (~27 degrees) and larger formation enthalpies (~95 kcal/mol) than in **4a**. Besides, if the chloride ion in **4a** is forced to be closer to the phenyl-phenyl system, the enthalpy increases to 105 kcal/mol, while the torsional angle decreases only to 24.9 degrees. This is due to the steric hindrance experienced by the chloro-substituent residing closer to the H-substituent and the charge repulsion mentioned before.

This rationale also explains why the photolysis of 1c (3, X = F) did not produce the expected product (1-fluorobenzothiazolo[3,2-*a*]quinolinium chloride (4c), whereas in the case of 1d (X = H) the reaction proceeds in a normal fashion to produce 4d in good yield.

In addition to the mechanistic study, the 2D nmr analysis (COSY, HMBC and HMQC) of **4a** and **4d** confirmed the proposed structure and allowed for a complete assignment of the ¹H and ¹³C signals (see Tables 2 and 3). Based on previous studies [13] the downfield signal at δ 9.35 ppm in **4a** is assigned to H1 (see Tables 2 and 3). The COSY spectrum of **4a** shows a correlation of H1 with a signal at δ 8.38 ppm assigned to H2. Thus H1 and H2 constitute an AB doublet with a coupling constant J = 9.3 Hz. Likewise, the low field signal at δ 9.35 in **4d**



Figure 1. TOP: Theoretical enthalpies in kcal/mol, for the photocyclization of (Z)-2-(2,3,6-trichlorostyryl)benzothiazole (2a and its conformer 2a'). The reactants, intermediates and end products are shown as solid lines, their reactions as dashed lines and their relative thermal stability with double headed arrows. BOTTOM: Potential energy for the rotamers 2a and 2a'.

was also assigned to H1. As expected H2 in 4d, appears as a triplet with J = 8.3 Hz, and shows a correlation with H1 and H3 in the COSY spectrum. Once the signal corresponding to H-1 was assigned, the assignment of the remaining protons was straightforward by COSY experiments and further confirmed by HMBC experiments. The HMBC spectra of 4a and 4d are shown in Figures 2 and 3. Once the proton resonances were established, the attention was focused to the assignment of the chemical shifts for all carbons at ring A. Thus, C-1 and C-2 were easily assigned by HMQC experiments at 119.9 and 133.6 ppm, respectively. These resonances are similar to those observed in **4d** (δ 118.9 and 133.8 ppm, respectively). Additionally, the HMBC experiment confirmed that irradiation of H-1 shows a correlation with C-3 and the

Figure 2. Theoretical enthalpies in kcal/mol for the formation of the reactants, intermediates and end products (solid lines); their reactions (dashed lines); and their relative thermal stability (double headed arrows) for the photocyclization of (Z)-2-(2,3,5-trichlorostyryl)benzothiazole (2b).

quaternary carbon C-4a. On the other hand, the irradiation of H-2 shows a correlation with the quaternary carbons C-4 and C-12a. Thus, carbons C-3, C-4, C-4a and C-12a resonate at δ 132.6, 131.0, 126.4 and 136.4 ppm, respectively. Additionally, the identification of H-1 in **4a** was supported by the observed J¹ with C-12a (see Figure 3). This J¹ coupling is not consistent with structure **4a**' because in this compound C-1 is a quaternary carbon. In conclusion, both the ¹H and the ¹³C nmr spectral analysis summarized in Tables 2 and 3 is consistent with structures **4a** and **4d**.

CONCLUSIONS

Based on theoretical studies using a combination of molecular mechanics (MM+) and semiempirical quantum mechanical calculations (PM3/RHF/CI), it is concluded that the formation of the 3,4-dichlorobenzothiazolo[3,2-a]quinolinium chloride (**4a**) is thermodynamically favored over both the 1,4-dichlorobenzothiazolo[3,2-a]quinolinium chloride (**4b**) and 1,3-dichlorobenzothiazolo[3,2-a]quinolinium chloride (**4b**) and 1,3-dichlorobenzothiazolo[3,2-a]quinol

a]quinolinium chloride (4c). These results are rationalized in terms of the stability of the corresponding intermediate 3, based on steric and electronic interactions introduced when X = H is changed for X = Cl or F. Thus, as expected, 4a (X = H) is the sole product obtained with a good yield of 45% upon the irradiation of 1a, while 4b (X = Cl), 4c (X = Cl), and 4d (X = F) were not observed. The structure of 4a and 4d were secured by twodimensional nmr experiments.

EXPERIMENTAL

General. Melting points were determined on a Melt-Temp apparatus and are uncorrected. Infrared spectra were recorded on a Nicolet Series 6000 FT-IR spectrometer as potassium bromide pellets. The uv-vis spectra were measured in ethanol or acetonitrile solution on a Varian Cary Spectrophotometer. Nuclear magnetic resonance (nmr) spectra were recorded using either a General Electric QE-300 MHz or a Bruker DRX500 nmr spectrometers operating at a respective observation frequency of 300.15 MHz or 500.13 MHz for ¹H nuclei and 75.0 MHz or

5

S 6a

6

11

8

7a

10

9

Figure 3. HMBC spectrum of 3,4-dichlorobenzothiazolo[3,2-*a*]quinolinium (4a).

Figure 4. HMBC spectrum of 4-chlorobenzothiazolo[3,2-a]quinolinium chloride 4d.

Table 2. Complete ${}^{1}H$ and ${}^{13}C$ nmr assignments of 4a.

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тα	

Position	¹ H (ppm), (Mult., J (Hz)) ^{a)}	¹³ C (ppm)
1	9.35 (d, 9.3)	119.9
2	8.38 (d, 9.6)	133.6
3		132.6
4		131.0
4a		126.4
5	9.18 (d, 9.8)	135.5
6	9.05 (d, 9.5)	121.9
6a		160.1
7a		130.0
8	8.73 (dd, 7.9, 1.4)	125.6
9	8.05 (td, 7.9°, 1.4)	129.4
10	8.01 (td, 7.5, 0.7)	129.6
11	9.21 (d, 8.6)	120.4
11a		138.8
12a		136.4

[a] At 500.13 MHz with $(CD_3)_2SO$ internal standard

4d

Position	¹ H (ppm), (Mult., J (Hz)) ^{a)}	¹³ C (ppm)
1	9.35, d, 8.7	118.85
2	8.20, t, 8.3	133.83
3	8.25, dd, 7.5, 0.7	129.63
4		133.22
4a		124.90
5	9.15, d, 9.8	135.52
6	8.99, d, 9.4	120.99
6a		160.35
7a		138.97
8	9.25, d, 8.5	120.52
9	8.00, m	129.53
10	8.00, m	129.27
11	8.72, dd, 7.9, 1.4	125.57
11a		129.96
12a		137.88

[a] These chemical shifts were taken from one-dimensional slices of the HMQC spectrum.

125.77 MHz for ¹³C nuclei. The proton data was referenced to either tetramethylchlorosilane (TMS) at δ 0.0 ppm, deuteriochloroform (CDCl₂) at δ 7.26 ppm or dimethyl sulfoxide-d₆ $[(CD_3)_2SO]$ at δ 2.49 ppm. The 2D NMR experiments (COSY, HMQC and HMBC) were performed on a Bruker DRX500 NMR spectrometer. High Resolution Mass Spectra (hrms) spectra were recorded on a FISON instrument, VG Auto Spect Series using a Direct Insertion Probe (DIP). The hrms parameters were as follows: Electron-impact = 70 eV, Resolution = 1000 ppm, and Temperature ramp = 100-400 °C (30°C/min). Irradiations were carried out in a Rayonet Photochemical apparatus fitted with 350 nm lamps. Compounds 2-methylbenzothiazole and the corresponding benzaldehydes, used in the synthesis of **1a-d**, are commercially available by Aldrich-Sigma. All the solvents were HPLC grade from Aldrich or were distilled before used.

Computational Studies. The geometry optimizations using a combination of molecular mechanics (MM+), molecular dynamics and semiempirical calculations (PM3/RHF/CI) were performed with HyperChem 8.0 (HyperCube Inc., Florida) or semiempirical DT with B3LYP/6-31G(d) using Gaussian 03 at the BobSCEd cluster (Earlham College Cluster Computing Group; Richmond, IN). The optimization was done at least three times using different starting geometries. These were generated with the stimulated annealing feature of Hyperchem or by arbitrarily changing the bond lengths and/or bond angles. At the semiempirical level, the optimizations were done with the Polak-Ribiere conjugated gradient protocol (1x10⁻⁵ convergence limit, 0.01 kCal/Å*mol RMS-limit). No restraints were required for the interaction of the chloride and benzazolo[3,2-a]quinolinium ions in the optimization process. The thermodynamic properties were finally obtained with PM3/RHF/CI-single point calculations starting with the most stable PM3-optimized conformation and using three occupied and three virtual orbitals. The reaction enthalpies were taken as the difference between the enthalpy of formation of the corresponding product and reactant.

Synthetic Procedures. The (E)-2-(2-halostyryl)benzothiazole derivatives (**1a-d**) were prepared as described [1,15]. The benzazolo[3,2-*a*]quinolinium chlorides (**4a-d**) were prepared by a modification of the procedure described by Cox *et. al.*, using a 350 nm light source [1]. A representative procedure for the preparation of **1b** and **4a** is presented.

(E)-2-(2,3,5-Trichlorostyryl)benzothiazole (1b). This compound was prepared as described [1,14] from the condensation of 2-methylbenzothiazole (1.78 mL, 14.0 mmol) and 2,3,5trichlorobenzaldehyde (3.00 g, 14.0 mmol) in boiling acetic anhydride (30 mL). The crude solid was collected by filtration and washed with a cold 1:1 hexane/acetone mixture. Recrystallization from a 1:1 hexane/acetone mixture produced 1.83 g (38%) of 1b as an off-white solid: mp 150-152°C; ¹H-nmr (300.15 MHz, CDCl₂) δ ppm): 8.04 (dd, 1H, J= 8.3, 0.9 Hz), 7.89 (dd, 1H, J= 8.5, 0.9 Hz), 7.86 and 7.39 (AB, 2H, J= 16.2 Hz), 7.61 (d, 1H, J= 2.5 Hz), 7.50 (td, 1H, J= 7.7, 1.3 Hz), 7.47 (d, 1H, J= 2.5 Hz), 7.41 (td, 1H, J= 8.0, 1.3 Hz); ¹³C nmr (75 MHz, CDCl₃ δ ppm): 165.5, 153.5, 136.8, 134.6, 134.6, 133.1, 132.1, 130.1, 130.2, 126.7, 126.5, 126.0, 125.2, 123.4, 121.6; uv (95% ethanol) λ_{max} nm (\epsilon): 333 (22673), 224 (31717) and 200 (31350); ir (potassium bromide): 3061, 3050, 3039, 1568, 1547, 1434, 1419, 1392, 1317, 1239, 1178, 1127, 953, 856, 822, 753, 734, 722, 671 cm⁻¹; hrms: Calcd. for C₁₅H₈Cl₃NS 338.944304, found M+ 338.942432 (δ 5.5 ppm). Anal. Calcd. for C₁₅H₈Cl₃NS: C, 52.89; H, 2.37; N, 4.11%. Found: C, 52.64; H, 2.40; N, 4.02%.

(*E*)-2-(2,3,6-Trichlorostyryl)benzothiazole (1a). Following the general procedure this compound (1.67 g, 56%) was obtained as a white solid: mp 148-150 °C. ¹H nmr (500.13 MHz, CDCl₃ δ ppm): 8.05 (dd, 1H, J= 7.3, 0.6 Hz), 7.90 (dq, 1H, J= 8.0, 0.6 Hz), 7.58 and 7.51 (AB, 2H, J= 16.5 Hz), 7.50 and 7.42 (td, 2H, J= 7.7, 1.2 Hz); ¹³C nmr (125.77 MHz, CDCl₃ δ ppm): 165.7, 153.9, 134.7, 134.6, 133.2, 132.8, 132.6, 131.1, 131.0, 130.9, 130.0, 129.0, 126.5, 125.9, 123.5, 121.6; uv (95% ethanol) λ_{max} nm (ϵ): 319 (19817), 225 (28550) and 200 (33200); hrms: Calcd for C₁₅H₈Cl₃NS 338.944304, found M+ 338.943468 (δ 2.5 ppm). Anal. Calcd for C₁₅H₈Cl₃NS: C, 52.89; H, 2.37; N, 4.11%. Found: C, 52.99; H, 2.42; N, 4.05%.

3,4-Dichlorobenzothiazolo[**3,2**-*a*]**quinolinium chloride (4a).** Following the general procedure [1], **1a** (0.26 g 0.66 mmol) was photocyclized to afford 0.114g (44%) of **4** as a yellow solid: mp 250-260°C (dec.); ¹H nmr (300.15 MHz, [CD₃)₂SO]) δ ppm): 9.36 and 8.38 (AB, 2H, J= 9.6 Hz), 9.21 (dd, 1H, J= 9.9, 1.2 Hz), 9.18 and 9.06 (AB, 2H, J= 9.6 Hz), 8.74 (dd, 1H, J= 7.8, 1.8 Hz), 8.03 (m, 2H); ¹³C nmr (75 MHz, [CD₃)₂SO] δ ppm): 160.1, 138.8, 136.4, 135.5, 133.6, 132.6, 131.0, 130.0, 129.6, 129.4, 126.4, 125.6, 122.0, 120.4, 120.0; uv: (95% ethanol) λ_{max} nm (ϵ) 382 (7402), 366 (8676), 263 (17038), 237 **sh** (10992) and 201 (22618). Anal. Calcd for C₁₅H₈Cl₃NS·2H₂O: C, 44.23; H, 2.97; N, 3.44%. Found C, 43.89; H, 2.68; N, 3.32%.

4-Chlorobenzothiazolo[3,2-*a*]quinolinium chloride (4d). Following the general procedure, (*E*)-2-(2,6-dichlorostyryl)benzothiazole (0.6 g, 1.96 mmol) was photocyclized to give 0.30g (50%) of **4d** as a yellow solid: mp 238°C (dec.); ¹H nmr (500.13 MHz, [(CD3)2SO] δ ppm): 9.35 (d, 1H, J= 8.7 Hz), 9.25 (d, 1H, J= 8.5 Hz), 9.15 (1H, d, J= 9.8 Hz), 8.99 (1H, d, J= 9.4 Hz), 8.72 (1H, dd, , J= 7.9, 1.4 Hz), 8.25 (1H, dd, J= 7.5, 0.7 Hz), 8.20 (1H, t, J= 8.3 Hz), 8.00 (2H, m); ¹³C nmr (125.77 MHz, [(CH₃)₂SO] δ ppm): 160.35, 138.97, 137.88, 135.52, 133.83, 133.22, 129.96, 129.63, 129.53, 129.27, 125.57, 124.90, 120.99, 120.52, 118.85; uv: (ethanol, 95%), λ_{max} nm (ε): 380 (10444), 364 (10905), 259 (16538), 225 sh (12273) and 201 (23193). Anal. Calcd for C₁₅H₉Cl₂NS·2.5H₂O: C, 51.47; H, 4.03; N, 4.00%. Found: C, 50.98; H, 3.54; N, 4.34%.

1,3-Dichlorobenzothiazolo[**3,2**-*a*]**quinolinium chloride** (**4b**). A solution of (*E*)-2-(2,3,5-trichlorostyryl)benzothiazole (**1a**) (0.50 g, 1.47 mmol) was irradiated for longer reaction time (18-46 h). No product was observed in the wall of the irradiation vessel and 60% of the starting material was recovered by vacuum evaporation of the reaction mixture, as shown by TLC and nmr analysis. The other 40% corresponds to a mixture of the starting material and unknown polymeric material.

1-Fluorobenzothiazolo[**3,2**-*a*]**quinolinium chloride (4c).** A solution of (*E*)-2-(2,3-difluorostyryl)benzothiazole (**1c**) (0.51 g, 1.87 mmol) in 3:1 benzene/dioxane mixture (200 mL) was irradiated for 18-46 h. After this long period of time no product was formed. However, a change from a yellow to a dark brown solution was observed. The ¹H nmr spectrum and TLC (80:20 hexane/ethyl acetate) of the viscous oil obtained from the vacuum evaporation of the reaction mixture were identical those of the starting material.

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